

# The TO DO LIST

## Complying with the Industrial General Permit for Storm Water Associated with Industrial Activities ([2014-0057-DWQ<sup>1</sup>](#))

**What is this permit?** The Industrial General Permit regulates industrial storm water discharges and authorized non-storm water discharges from industrial facilities in California. The Industrial General Permit is called a general permit because many industrial facilities are covered by the same permit, but comply with its requirements at their individual industrial facilities. The State Water Resources Control Board (State Water Board) and Regional Water Quality Control Boards (collectively, the Water Boards) implement and enforce the Industrial General Permit.

**Who needs this permit?** Industrial facilities such as manufacturers, landfills, mining, steam generating electricity, hazardous waste facilities, transportation with vehicle maintenance, larger sewage and wastewater plants, recycling facilities, and oil and gas facilities that do not qualify for an exemption. See Attachment A of the permit for a complete list of facilities covered by the Industrial General Permit.

### Water Board Contact Information

Storm Water Section  
PO Box 1977  
Sacramento, CA 95812-1977

#### General Storm Water Questions:

Email: [stormwater@waterboards.ca.gov](mailto:stormwater@waterboards.ca.gov)  
Phone: 1-866-563-3107  
Fax: (916) 341-5543

### Key Terms

**Best Management Practices** – Techniques, measures, or structural controls used to manage the quantity and improve the quality of storm water runoff, in this case, from an industrial facility.

**Storm Water Pollution Prevention Plan**- The document that lays out how an industrial facility will meet the permit's requirements and achieve the goal of keeping storm water clean.

**No Exposure Certification (NEC)** – If an industrial facility does not have industrial activities or materials that are exposed to storm water, it may qualify for a conditional exclusion from specific permit requirements by submitting a No Exposure Certification and other required documents in SMARTS.

### Storm Water Multiple Application And Report Tracking System ([SMARTS<sup>2</sup>](#))

**Qualifying Storm Event** – A storm event that produces a discharge for at least one drainage area and is preceded by 48 hours with no discharge from any drainage area at the industrial facility.

**Qualified Industrial Storm Water Practitioner (QISP)** – A professional who has completed a State Water Board-approved training course who is required to assist dischargers in Level 1 or Level 2 status or new dischargers that will discharge to a water body with a 303(d) listed impairment with permit compliance.

### DEADLINES



<input type="checkbox"/>	Dischargers applying for general permit coverage must file the required documents by July 1, 2015. If you currently have a permit, you must re-enroll by July 1, 2015. Dischargers filing for NEC coverage must submit the required documents on or before October 1, 2015.
<input type="checkbox"/>	Submit first annual report via SMARTS no later than July 15, 2016.
<input type="checkbox"/>	Submit sampling results via SMARTS within 30 days of obtaining lab results.
<input type="checkbox"/>	If you made significant revisions to your SWPPP, report these changes via SMARTS within 30 days. Report any non-significant revisions at least once every three months. SWPPP updates are required to be uploaded in SMARTS, at least once a quarter.

<sup>1</sup> [http://www.waterboards.ca.gov/board\\_decisions/adopted\\_orders/water\\_quality/2014/wqo2014\\_0057\\_dwq.pdf](http://www.waterboards.ca.gov/board_decisions/adopted_orders/water_quality/2014/wqo2014_0057_dwq.pdf). You are responsible for reviewing the requirements set forth in the permit. This document does not provide an exhaustive summary of the permit's requirements.

<sup>2</sup> <https://smarts.waterboards.ca.gov/smarts/faces/SwSmartsLogin.jsp>



## MONITORING

<input type="checkbox"/>	Monthly visual observations – Have you conducted observations each month to identify the presence of any unauthorized non-storm water discharges, assess the effectiveness of your BMPs, and observe all potential sources of industrial pollutants? Have you documented these observations?
<input type="checkbox"/>	Have you sampled the storm water leaving the industrial facility, visually observed the discharge, and sent the samples to a lab? Every year you must sample: 2 QSEs within the first half of each reporting year (July 1 to December 31), and 2 QSEs within the second half of each reporting year (January 1 to June 30).
<input type="checkbox"/>	Have you kept monitoring documentation on your site and reported the sampling data via SMARTS?



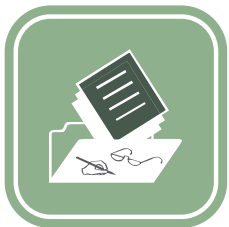
## STORM WATER POLLUTION PREVENTION PLAN (SWPPP)

<input type="checkbox"/>	Do you have a SWPPP developed and stored at the industrial facility?
<input type="checkbox"/>	Have you submitted your SWPPP via SMARTS?
<input type="checkbox"/>	Have you developed a site map and submitted it in SMARTS?
<input type="checkbox"/>	Does the SWPPP describe your pollutant source assessment, minimum BMPs, and other advanced BMPs at your site?
<input type="checkbox"/>	Have you trained employees at your industrial facility on sampling and storm water BMPs?



## RECERTIFICATION AND ENROLLMENT – SMARTS

<input type="checkbox"/>	To enroll in this permit, you must pay the annual fee and submit the following permit registration documents via SMARTS: -Completed notice of intent form and signed certification statement; -SWPPP; and -Complete and updated site map.
<input type="checkbox"/>	To apply for NEC coverage, you must pay the annual fee and submit the following permit registration documents via SMARTS: -Completed NEC form and signed certification statement; -Completed NEC checklist; and -Complete and updated site map.
<input type="checkbox"/>	If you have coverage under the old permit, you need to re-enroll under the new Industrial General Permit by July 1, 2015 because the permit has changed. To re-enroll, you must: -Obtain a Secret Code Number from the State Water Board and recertify the facility's notice of intent; and -Submit your SWPPP and facility site map in SMARTS.



## OTHER INFORMATION

<input type="checkbox"/>	Annual Fee – Have you paid your invoice? (invoices are sent out to the industrial facility by U.S. mail)
<input type="checkbox"/>	Did you compare your sampling data to the numeric action levels in the permit? If your results indicate an exceedance of a numeric action level, you must take exceedance response actions. Baseline – All industrial facilities start here. Level 1/Level 2 – If your results exceed the numeric action level, you must select a QISP to assist with conducting a facility evaluation and completing the necessary exceedance response action reports.